

Traphagen Investment Advisors, LLC Privacy Policy

Traphagen Investment Advisors, LLC has always been committed to maintaining the confidentiality, integrity, and security of personal information entrusted to us by current and prospective customers.

How and why we obtain personal information:

Traphagen Investment Advisors, LLC uses the personal information we collect from you to set up your accounts with Fidelity Investments or Charles Schwab, to process transactions in your account, respond to inquiries from you, and to fulfill legal and regulatory requirements. We may collect non-public personal information about you from the following sources:

- Applications or forms (for example, name, address, Social Security number, date of birth, assets and income)
- From transactional activity in your account (for example, checks written, balances, etc.)
- From other sources with your consent (for example, from other institutions when transferring accounts and obtaining cost basis information.)

How we protect your information:

Traphagen Investment Advisors, LLC has always considered the protection of sensitive information to be a sound business practice and a foundation of client trust. We restrict access to information to those who require that access to provide information to you. We may share the information we collect with the following entities:

- Fidelity Investments or Charles Schwab, as the custodian of your accounts.
- Traphagen & Traphagen CPAs (for example, information needed to complete tax returns or in providing financial planning services.)
- Government agencies and law enforcement officials (for example, tax reporting or under court order)
- Other organizations with your consent (For example, if you use Traphagen Investment Advisors, LLC as a financial reference in applying for credit with another institution.)
- Other organizations as permitted by the laws that protect your privacy.

Privacy Online:

Traphagen Investment Advisors, LLC recommends to clients that for online account access, they should utilize Fidelity Investments website at www.fidelity.com. Fidelity has set up their own safeguards as described in their pamphlet which can be mailed to you upon request. Traphagen Investment Advisors, LLC does not have access to the User Ids and the original PIN #s, which must be set up by the client directly with Fidelity.

For the Charles Schwab website, see www.schwaballiance.com.

Traphagen Investment Advisors, LLC takes this privacy policy very seriously. If you have any questions or concerns, please contact us through mail, telephone, fax or email